

## SHEET OF GETTING INVESTOR’S WRITTEN OPINION

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### I. General information on the DFVN Fixed Income Fund (DFVN-FIX) and Dai-ichi Life Vietnam Fund Management Company Limited

- The Fund’s name in Vietnamese : Quỹ Đầu tư Trái phiếu DFVN
- The Fund’s name in English : *DFVN Fixed Income Fund*
- Abbreviated name : DFVN-FIX
- The Certificate of public offering registration No. 02/GCN-UBCK issued by the State Securities Commission dated February 4<sup>th</sup>, 2021

Managed by:

#### **Dai-ichi Life Vietnam Fund Management Company Limited**

- License of establishment and operation No. 53/GP-UBCK issued by the State Securities Commission on February 10<sup>th</sup>, 2014, and the adjusted licenses
- Address: Floor 11<sup>th</sup>, 149 – 151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City

### II. Purpose of getting opinion

- Getting the Investor’s written opinion on the contents which need to vote at the fiscal year 2021 General Investors Meeting of the DFVN Fixed Income Fund. Every Investor shall have 01 Sheet of getting opinion (voting).

### III. Investor’s information

Name of Investor: .....

Transaction Account Number No.: .....

Nationality/Country of establishment: .....

Business License No./ID/Citizen Identification/Passport: .....

The number of Fund Certificates (number of voting right): .....

Holding ratio: .....

Contacting/Head Address: .....

#### **Additional information for institutional Investor:**

Name of Authorized person: .....

Nationality: .....

ID/Citizen Identification/Passport No.: .....

Contacting Address: .....

I/we have the right to vote on the contents of the fiscal year 2021 General Investors Meeting of the DFVN Fixed Income Fund (“DFVN-FIX” or “Fund”) as followings:

*(Kindly please stick only 01 (one) option “Agree”, “Disagree”, “No opinion” for each content)*





NO.	CONTENTS GETTING OPINION	AGREE	DISAGREE	NO OPINION
(1)	Approve on 2021 Performance Report of the DFVN-FIX <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2)	Approve on the 2021 audited Financial Statements of the DFVN-FIX <sup>2</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3)	Approve the 2022 budget plan of the Fund Representative Board: - Approve the remuneration of the Fund Representative Board is VND 3,000,000 /month/person; and - Approve the total remuneration in 2022 is VND108,000,000.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4)	Authorize the Fund Representative Board to select 01 (one) of 03 (three) audit companies including KPMG, PWC and Deloitte Vietnam to perform auditing for the DFVN-FIX in fiscal year of 2022	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5)	Approve the amendment, supplement of DFVN-FIX Charter <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Kindly please send the Sheet of getting opinion by **April 22<sup>nd</sup>, 2022** as the latest in 01 (one) of following methods:

- **To the Company's address:** Dai-ichi Life Vietnam Fund Management Company Ltd. Floor 11<sup>th</sup>, 149-151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City; The Opinion Sheet is contained in a sealed envelope.
- Send email and attach the scan or snapshot of the Sheet of getting opinion to the email's address at [dfvn\\_cs@dai-ichi-life.com.vn](mailto:dfvn_cs@dai-ichi-life.com.vn) from the email address registered with the Company.

**INVESTOR<sup>4</sup>**  
(Sign and fill in full name)

.....  
Date: ...../...../2022



**LEGAL REPRESENTATIVE**

*(Handwritten signature)*  
**Tran Chau Danh**  
Chief Executive Officer



<sup>1</sup> Content for getting opinion uploaded on the Company's website: <https://dfvn.com.vn/vi/investor-relations/information-disclosure/dfvn-fix>  
<sup>2</sup> Content for getting opinion uploaded on the Company's website: <https://dfvn.com.vn/vi/investor-relations/information-disclosure/dfvn-fix>  
<sup>3</sup> Content for getting opinion uploaded on the Company's website: <https://dfvn.com.vn/vi/investor-relations/information-disclosure/dfvn-fix>  
<sup>4</sup> For the institutional Investor, please help to sign and fill in the full name of the Legal Representative or Authorized person