

**DAI-ICHI LIFE VIETNAM
FUND MANAGEMENT COMPANY LIMITED**

No: 049/CEO/DFVN25

Content 4
PROPOSAL

THE SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

Ho Chi Minh City, dated 01st April 2025

NOTICE

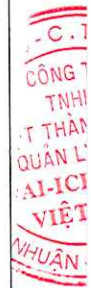
Regarding amending and supplementing the Charter of DFVN Fixed Income Fund (DFVN-FIX)

(Attach the Proposal No. 047/CEO/DFVN25 of the Dai-ichi Life Vietnam Fund Management Company Limited (DFVN) dated 1st April 2025 (“Proposal”))

Dai-ichi Life Vietnam Fund Management Company Limited (DFVN) respectfully propose to the General Meeting of Investors for consideration to approve **Content 4 of Proposal** for the amendments and supplements to the DFVN-FIX Fund Charter as follows:

No	Items and articles of the NEW Charter	Items and articles of the OLD Charter	Reasons
1	<p>I. LEGAL BASE</p> <p>This Charter is made in accordance with the following legal documents:</p> <ul style="list-style-type: none"> - Law on Securities No. 54/2019/QH14 promulgated by the National Assembly of Socialist Republic of Vietnam on November 26th, 2019 (“Law on Securities”); - <u>Law No. 56/2024/QH15 of the National Assembly of the Socialist Republic of Vietnam, dated November 29, 2024, amend Law on Securities, Accounting Law, Law on Independent Audit, Law on the State Budget, Law on Management and Use of Public Property, Law on Tax Administration, Law on Personal Income Tax, Law on the</u> 	<p>I. LEGAL BASE</p> <p>This Charter is made in accordance with the following legal documents:</p> <ul style="list-style-type: none"> - Law on Securities No. 54/2019/QH14 promulgated by the National Assembly of Socialist Republic of Vietnam on November 26th, 2019 (“Law on Securities”); - Decree No. 155/2020/ND-CP dated December 31st, 2020 of Government stipulating in detail the implementation of a number of articles of the Law on Securities (“Decree 155”); - Circular No. 99/2020/TT-BTC dated November 16th, 2020 of Ministry of Finance guiding the operation of Fund Management Company (“Circular 99”); 	<p>Update new Law issued on 29 November 2024</p>

	<p><u>National Reserve and Law on Handli (“Law No. 56/2024/QH15”)</u></p> <ul style="list-style-type: none"> - Decree No. 155/2020/ND-CP dated December 31st, 2020 of Government stipulating in detail the implementation of a number of articles of the Law on Securities (“Decree 155”); - Circular No. 99/2020/TT-BTC dated November 16th, 2020 of Ministry of Finance guiding the operation of Fund Management Company (“Circular 99”); - Circular 98/2020/TT-BTC dated November 16th, 2020 of Ministry of Finance guiding the operation and management of Investment Fund (“Circular 98”); - Circular 198/2012/TT-BTC dated 20th January, 2016 of Ministry of Finance guiding the accounting regime applicable to Open-ended Fund (“Circular 198”); - Circular 102/2021/TT-BTC dated November 17th, 2021 of Ministry of Finance guiding prices of securities-related services applied at securities business organizations and commercial banks joining Vietnam securities market; (“Circular 102”); and - The other relevant legal regulations including amendment, guidance, replacement of above documents at any point of time. 	<ul style="list-style-type: none"> - Circular 98/2020/TT-BTC dated November 16th, 2020 of Ministry of Finance guiding the operation and management of Investment Fund (“Circular 98”); - Circular 198/2012/TT-BTC dated 20th January, 2016 of Ministry of Finance guiding the accounting regime applicable to Open-ended Fund (“Circular 198”); - Circular 102/2021/TT-BTC dated November 17th, 2021 of Ministry of Finance guiding prices of securities-related services applied at securities business organizations and commercial banks joining Vietnam securities market; (“Circular 102”); and - The other relevant legal regulations including amendment, guidance, replacement of above documents at any point of time. 	
2	<p>ARTICLE 21. GENERAL MEETING OF INVESTORS</p> <p>21.2 The <u>annual meeting</u> of the General Meeting of Investors shall be held within four (04) months from the end of the fiscal year. At the request of the Board of Representatives, the annual</p>	<p>ARTICLE 21. GENERAL MEETING OF INVESTORS</p> <p>21.2 The annual meeting of the General Meeting of Investors shall be held within four (04) months from the end of the fiscal year. At the request of the Board of Representatives, the annual</p>	<p>-Replace the term with “Đại hội NĐT thường niên” in accordance with Article 17.2</p>



	<p>General Meeting of Investors may be extended for no more than 06 months from the end of the fiscal year and must be notified to the State Securities Commission. The General <u>or Extraordinary</u> Meeting of Investors shall be held in the form of centralized or the not-centralized meeting by collecting <u>Investor's</u> opinions or attending/ voting via online meeting, e-voting or other electronic methods in accordance with the prevailing Laws. The General Meeting of Investors in the form of not-centralized meeting may be implemented via documents, emails, <u>electronic voting or other electronic methods in accordance with current legal regulations.</u></p>	<p>General Meeting of Investors may be extended for no more than 06 months from the end of the fiscal year and must be notified to the State Securities Commission. The annual meeting of the General Meeting of Investors shall be held in the form of centralized or the not-centralized meeting by collecting opinions or attending/ voting via online meeting, e-voting or other electronic methods in accordance with the prevailing Laws. The General Meeting of Investors in the form of not-centralized meeting may be implemented via documents or emails.</p>	<p>Circular 98/2020/TT-BTC</p> <p>-Make clear the method General Meeting of Investors</p>
3	<p>ARTICLE 21. GENERAL MEETING OF INVESTORS</p> <p>21.4 The first meeting General Meeting of Investors may be convened by the Fund Management Company and held prior to the first Fund Certificate Transaction Day. The expenses of the <u>annual</u> and first meeting of the General Meeting of Investors are paid by the Fund.</p>	<p>ARTICLE 21. GENERAL MEETING OF INVESTORS</p> <p>21.4 The first meeting General Meeting of Investors may be convened by the Fund Management Company and held prior to the first Fund Certificate Transaction Day. The expenses of the annual and first meeting of the General Meeting of Investors are paid by the Fund.</p>	<p>-Replace the term with “Đại hội NĐT thường niên” in accordance with Article 17.2 Circular 98/2020/TT-BTC</p>
4	<p>ARTICLE 24. ADOPTION OF THE DECISIONS OF THE GENERAL MEETING OF INVESTORS</p> <p>24.8 <u>The Minutes and the Resolution</u> of the General Meeting of Investors are disclosed on the information disclosure media of the Fund Management Company and the State Securities Commission in accordance with the provisions of Law.</p>	<p>ARTICLE 24. ADOPTION OF THE DECISIONS OF THE GENERAL MEETING OF INVESTORS</p> <p>24.8 After completing the checking votes, the Minutes of checking votes and the Resolution of the General Meeting of Investors are disclosed on the information disclosure media of the Fund Management Company and the State Securities Commission in accordance with the provisions of Law.</p>	<p>-Regulate generally to apply all cases of General Meeting of Investors</p>

Attached document: Draft Charter of DFVN Fixed Income Fund (DFVN-FIX) amended and supplemented for the 4th time.

DAI-ICHI LIFE VIETNAM ^{for}
FUND MANAGEMENT COMPANY LIMITED



TRẦN CHAU DANH
Chief Executive Officer